

ORIGINAL NEW APPLICATION



0000164140

BEFORE THE ARIZONA CORPORATION COMMISSION

Arizona Corporation Commission

DOCKETED

SEP 30 2015

COMMISSIONERS

SUSAN BITTER SMITH, Chairman
BOB STUMP
BOB BURNS
DOUG LITTLE
TOM FORESE

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In the matter of:

BILTMORE WEALTH ADVISORS, LLC, an
Arizona limited liability company, and

JAMES S. MILLER and Elizabeth A. Miller,
husband and wife,

Respondents.

DOCKET NO. S-20942A-15-0341

FILING TO OPEN DOCKET

The Securities Division of the Arizona Corporation Commission requests that a Docket be opened for the purpose of assigning a docket number to a proposed Order to Cease and Desist, Order for Administrative Penalties, and Consent to Same by the above-referenced Respondents

Dated this 30th day of September, 2015.

By

Ryan J. Millecam
Attorney for the Securities Division
of the Arizona Corporation Commission

ORIGINAL and 8 copies of the foregoing
filed this 30th day of Septmeber, 2015, with:

Docket Control
Arizona Corporation Commission
1200 W. Washington St.
Phoenix, AZ 85007

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2 COPY of the foregoing sent via U.S. Mail
and email this 30th day of September, 2015, to:

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4 Jenkins Law Firm
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